

305 NPA
Florida
Jeopardy Procedures
FINAL

Extraordinary Code
Conservation Measures
(Split or Overlay)

**305 NPA Florida
Jeopardy Procedures
Extraordinary Code Conservation Measures
Modified May 3, 2001 – See Addendum Page 11**

Introduction

This document describes Extraordinary Code Conservation Measures for managing central office codes (NXXs) for the duration of the jeopardy condition in this NPA. The purpose of this document is to ensure that all pertinent information is readily available to current and potential code holders. These procedures attempt to provide...

- fair and equitable treatment for all segments of the telecommunications industry
- the most effective means of managing the limited number of unassigned NXXs
- a selection of NPA-specific options for local industry consideration

Questions

Questions regarding the content of this document may be directed to either the NANPA CO Code Administrator or the NANPA NPA Relief Planner. (Refer to the NANPA Web site, www.nanpa.com, for specific contact information.)

Introduction of New NPA

Table 1 Key Dates For Jeopardy Procedures		
Ref.	Milestones	Dates
A.	Date on Which This NPA Declared to be in Jeopardy	3/22/99
B.	Start Date of these Extraordinary Code Conservation Measures	9/2/99 (Note 1)

Table 1 Notes:

Note 1: By industry-consensus, the date on which these procedures go into effect

**305 NPA Florida
Jeopardy Procedures
Extraordinary Code Conservation Measures
Modified May 3, 2001 – See Addendum Page 11**

Submitting Code Requests

Table 2 Need-to-Know Information		
Ref.	Key Points	Requirement
A.	Minimum quantity of codes available for assignment (“base allocation”):	1 code per month (See Note 1)
B.	Maximum requests that may be submitted per month (“monthly submissions”):	1 request per OCN (See Notes 2 & 3)
C.	First day and time Part 1 code requests will be accepted (“submission start date”)+:	<i>Received no earlier than... 2nd Business Day of Month 8:00 AM, Pacific Time</i>
D.	Last day and time Part 1 code requests will be accepted (“submission deadline”)**:	<i>Received no later than... 9th Business Day of Month 4:00 PM, Pacific Time</i>
E.	Part 1 code requests to be faxed to NANPA CO Code Administrator:	Terah Adger Fax #: 925-363-8714 (Tel. # 925-363-8705)
F.	Requirements for participating in monthly rationing process:	Part 1 requests must meet all “Eligibility Requirements” (See Notes 4, 5 & 6)
G.	Part 3 response will be issued on or before:	<i>10th business day after close of submission interval... 4:00 PM, Pacific Time</i>
H.	Process that will be used to allocate available codes:	Monthly rationing process is identified on Table 3 & Table 4.
I.	Method by which initial, growth and “new application” requests will receive NXX (e.g., one- versus two- “pool”):	Not Applicable

**305 NPA Florida
Jeopardy Procedures
Extraordinary Code Conservation Measures
Modified May 3, 2001 – See Addendum Page 11**

**** “Submission Deadline” is the date on which the industry-standard 66-day processing interval begins**

++ “Submission Start Date” in conjunction with submission deadline determines “submission interval”

(length time applicants have to submit code requests for any given rationing month)

**305 NPA Florida
Jeopardy Procedures
Extraordinary Code Conservation Measures
Modified May 3, 2001 – See Addendum Page 11**

Table 2 Notes:

1) Monthly Assignable Codes (Ref. Table 2, Note 1)

- a) “Base allocation” refers to the number of NXXs that will be available for assignment each month under these jeopardy procedures. This figure is determined by dividing the number of codes available at implementation of these procedures by the number of months estimated/actual until the planned start of mandatory dialing.
 - i) This quantity may be revised, upward or downward, depending upon the relief plan and relief schedule approved by the state Regulatory Commission.
 - ii) The industry will reconvene during the implementation meeting. The purpose will be to determine whether there is a need to adjust the base allocation figure and, if so, to what quantity. The industry will also determine how many set aside codes will be needed.
- b) If the base allocation for any given month is not fully assigned, the unassigned quantity will “carryover” to the following month. This quantity and the base allocation will then be available for assignment in that month.
- c) In the event that NXXs are recovered during this code rationing (jeopardy) period, the monthly “base allocation” will be recalculated to reflect this larger number of available codes.
 - i) The CO Code Administrator may adjust the monthly allocation as a result of code recovery without seeking industry consensus.
 - ii) CO Code Administration will post on the NANPA Web site a notice of recalculated monthly allotment (under “Bulletin Board”/“Jeopardy Information”); Change notice will be distributed to industry participants, although applicants should check the NANPA Web site, www.nanpa.com, regularly.

2) Submitting Requests (Ref. Table 2, Note 2)

- a) Each Part 1 code request is to be designated by the applicant as 1st, 2nd or nth choice (“nth” represents the maximum number permitted).
 - i) If the applicant submits multiple requests, but does not indicate “choice,” the code administrator will assign 1st, 2nd or nth choice based on the sequence in which the requests were received on the NANPA Code Administrator’s fax machine.
 - ii) If an applicant submits more than “n” code requests (the maximum permitted), only the first “n” received will be accepted; any additional requests received that calendar month will be denied.
- b) For these jeopardy procedures, “applicant” is defined as any entity having a valid “OCN” (Operating Company Number/Company Code).

3) Requests to Reserve a Code (Ref. Table 2, Note 3)

- a) Requests to reserve an NXX code will be denied for the duration of these jeopardy procedures; if it becomes necessary to extend/continue jeopardy in this NPA for any reason, code reservation requests will be denied for that extended period of time.

**305 NPA Florida
Jeopardy Procedures
Extraordinary Code Conservation Measures
Modified May 3, 2001 – See Addendum Page 11**

- 4) **Eligibility Requirements (Ref. Table 2, Note 4)**
- a) ***A code request must meet all eligibility requirements by the monthly “submission deadline” in order to be eligible to participate in that month’s code rationing process.***
 - i) The Part 1 code request data must be complete and accurate; requests that have incomplete or inaccurate data will be suspended.
 - ii) Requests received after the submission deadline in any given month will be included in the following month’s rationing process provided that all “Eligibility Requirements” have been met by that next month’s submission deadline.
 - b) ***A valid NPA/Rate Center association must be provided on the Part 1 code request.***
 - i) As of the requested effective date, the specified rate center must physically reside within the boundaries of the specified NPA.
 - ii) If the requested effective date falls within or after the permissive dialing period and the rate center is moving to the new NPA, then the new NPA must be specified.
 - c) ***A valid entity name/OCN association must be provided on the Part 1 code request.***
 - i) The entity name for the applicant’s specified OCN must match the OCN/entity name association shown in Bellcore’s Routing Database System (RDBS).
 - ii) Companies “doing business as” another company must have a “d/b/a” memo on file with the NANPA CO Code Administrator specifying affected company names and OCNs.
 - d) ***The applicant OCN must be authorized to provide service in the jeopardy NPA.***
 - i) The type of authorization (CPCN #, tariff # or FCC license #) must be specified.
 - ii) The type of entity requesting the service (franchised local exchange carrier, competitive local exchange service carrier, wireless, etc.) must be specified.
 - iii) The type of service to be provided by the requested code (end office, paging, cellular, PCS, etc.) must be specified.
 - e) ***The requested effective date may be no more than 3 months into the future, from the assignment date.***
- 5) **Supporting Data Options (Ref. Table 2, Note 5)**
- a) A Jeopardy COCUS must be on file for this NPA from each OCN submitting a code request.
 - i) This is required in order for the request to be eligible to receive an NXX assignment.
 - ii) For current code holders, the Jeopardy COCUS is to be submitted to the NANPA Code Administrator within 30 days of jeopardy being declared.
 - iii) For new market entrants, the Jeopardy COCUS is to be submitted with the code request.
 - b) A Months to Exhaust (MTE) Worksheet must be received for each “growth” code request.

**305 NPA Florida
Jeopardy Procedures
Extraordinary Code Conservation Measures
Modified May 3, 2001 – See Addendum Page 11**

6) Suspended and Denied Requests (Ref. Table 2, Note 6)

- a) If the required Part 1 data (or industry-specified supporting documentation) is not received by the original month's submission deadline, the request will be suspended and will be ineligible for participation in the rationing process/lottery; this suspension will continue until all eligibility requirements have been met.
- b) If a request has been suspended, but required data (or industry-specified supporting documentation) is later received by the submission deadline of a subsequent month, the request will be included in that month's code rationing process.
- c) Requests will be denied in the following situations:
 - i) Code request(s) submitted by an OCN that exceed the 1 per month maximum
 - ii) The requested effective date is greater than 3 months.
 - iii) The code applicant is not authorized/certified to provide service in the state/NPA
 - iv) The request is to reserve an NXX code and the Part 1 is received before the allowed submission date

7) Assigned Effective Date (Ref. Table 2, Note 7)

- a) If a request to reassign a code is received before the allowed reassignment submission date, the request will be denied
- b) For code assignments that do not require code allocation procedures or lottery, the code effective date will be at least 66 calendar days from the "submission deadline" in accordance with *Central Office Code (NXX) Assignment Guidelines (INC 95-0407-008)*.
 - i) 14 calendar days for code administration processing
 - ii) 7 calendar days for AOCN processing
 - iii) 45 calendar days for industry notification(NXX data available in RDBS)
- c) NANPA Code Administrator will adjust the requested code effective date, as necessary, to ensure the AOCN processing interval of 7 calendar days and the minimum RDBS industry notification interval of 45 calendar days.
- d) The authorized code effective date will be reflected on the Part 3 response sent to the code applicant.

**305 NPA Florida
Jeopardy Procedures
Extraordinary Code Conservation Measures
Modified May 3, 2001 – See Addendum Page 11**

- e) Expedited code effective dates will follow COCTTF guidelines. Check on NANPA website for Expedite Procedures.

**305 NPA Florida
Jeopardy Procedures
Extraordinary Code Conservation Measures
Modified May 3, 2001 – See Addendum Page 11**

Code Rationing versus Code Allocation

- 1) The terms “Code Rationing” and “Code Allocation” as used in these jeopardy procedures, both refer to extraordinary code conservation measures in a declared jeopardy NPA(s).
- 2) The term “*Code Rationing*” ... refers to a situation in which there is a specified *limit on the number of codes that may be assigned in any given month*.
- 3) The term “*Code Allocation*”... refers to the *means of determining which code requests will receive a CO code assignment in any given month*. Lottery is one method of allocation.

Code Rationing Process

Table 3 Total Eligible Requests Equal To or Less Than Available Codes**		
If the total number of <u>eligible requests</u> received by the submission deadline is...	Then available codes will be rationed each month in the following manner...	And the effect upon the subsequent month(s) rationing will be...
Equal To the total number of codes available for assignment that month (See Note)	Each request receives a code assignment	No effect; each available code will be assigned
Less Than the total number of codes available for assignment that month (Note)	Each request receives a code assignment	Remaining quantity of codes will carryover to following month

****See Table 4 for process if total number of eligible requests is greater than available codes**

Governing Principle for Code Allocation

In any given month, each OCN submitting an eligible request will receive one code before any OCN receives two codes; each OCN submitting eligible requests for more than one code will each receive two codes before any OCN receives additional codes.

**305 NPA Florida
Jeopardy Procedures
Extraordinary Code Conservation Measures
Modified May 3, 2001 – See Addendum Page 11**

Code Allocation Process

Table 4 Total Eligible Requests Greater Than Available Codes			
When the following situation exists...	These restrictions will apply...	Codes will be allocated as follows...	And the effect on the following month is...
Total number of eligible OCNs is Equal to available codes	No more than one request will be accepted	Each OCN receives one NXX assigned to their 1 st -choice request	No effect; each available code will be assigned
Total number of eligible OCNs is Less Than available codes	None	a) No code will be assigned. Or b) Each OCN receives one NXX to their only request	Any unassigned code quantity will carryover to the following month
Total number of eligible OCNs is Greater Than available codes	No more than one request will be accepted	a) Only eligible 1st-choice code requests will participate in allocation process b) Lottery will be used to determine which OCNs receive a code assignment c) Some OCNs will receive an NXX; some will not	NO PRIORITY NUMBERS

**305 NPA Florida
Jeopardy Procedures
Extraordinary Code Conservation Measures
Modified May 3, 2001 – See Addendum Page 11**

Priority Numbers

- 1) OCNs that do not receive a code in a given month's lottery will not have to resubmit a new Part 1 code request if the OCN still needs an NXX assignment. However, if the code holder's needs change, regarding the original request, the original request must be withdrawn.

PRIORITY NUMBERS WILL NOT BE ASSIGNED.

Requests for Previously-Assigned NXXs

- 1) An NXX will not be effective in both the "old" and the "new" NPA until 60 calendar days following the start of mandatory dialing.
- 2) This rule applies in either of the following situations:
 - a) NXXs staying in the "old" NPA when making an assignment for a Rate Center that will move to the "new" NPA.
 - b) NXXs moving to the "new" NPA when making an assignment for a Rate Center that will stay in the "old" NPA.
- 3) This restriction will not apply if the approved NPA relief method is an overlay.

Code Set-Aside for Overlay

- 1) The quantity of codes to be set aside for "new entrants," in accordance with the FCC overlay 90-day rule, was determined to be 5 by NANPA with input from industry members.
- 2) New carriers that submit code requests (in an overlay NPA scenario) will receive at least one code from the "new entrant" (set-aside) pool.
- 3) Subsequent to receipt of their first NXX, new carriers would then participate with existing carriers under these extraordinary jeopardy procedures in obtaining any additional code(s).
- 4) At 90 days prior to the industry-established start of mandatory dialing, the NANPA Code Administrator will begin releasing codes that have been set aside for new market entrants.
 - a) This number of codes to be released will be calculated each month by dividing the number of codes left in the set-aside pool after making any assignments that month by the number of months remaining until the start of mandatory dialing of the overlay NPA code.
 - b) Codes released from the set-aside pool will be available for assignment to other industry segment requests.

**305 NPA Florida
Jeopardy Procedures
Extraordinary Code Conservation Measures
Modified May 3, 2001 – See Addendum Page 11**

Modifications to These Procedures

Pertinent sections of these procedures will be modified in the following circumstances:

1. If, during Industry relief planning meetings, consensus is reached to add, modify or delete specific dates and intervals identified on Table 1 through Table 5.
2. If the State Commission NPA Relief Order specifies implementation dates that are different from those initially proposed by the industry relief planning team.

**305 NPA Florida
Jeopardy Procedures
Extraordinary Code Conservation Measures
Modified May 3, 2001 – See Addendum Page 11**

ADDENDUM – Per Industry Consensus on May 3, 2001. This additional process is effective June 1, 2001.

This addendum does not change or modify the existing 305 NPA Jeopardy Procedures in regard to the number of code requests that a service provider may submit, nor does it change or modify the number of codes allocated each month.

1. Pooling Administration may participate in the lottery when there is less than six months inventory in a rate center pool.
2. LNP-capable carriers who can't get resources from the Pooling Administration may enter the lottery in accordance with Pooling Administration guidelines.
3. Non-LNP carriers would enter the lottery as currently done.
4. At the six-months to exhaust of the NPA (based on codes remaining and the rationing amount), C.O. code applications would no longer be allowed for pool replenishment.

These procedures are in effect until codes are exhausted, unless otherwise acted on.